

DIVIDEND TAX
DECLARATION AND UNDERTAKING FOR EXEMPTION
Foord Unit Trusts (RF) (Pty) Ltd



To qualify for an exemption from Dividends Tax, kindly complete this form and return it to Foord Unit Trusts (RF) (Pty) Ltd via fax (021 532 6970), email (unittrusts@foord.co.za) or delivery (Forest Mews, 96 Forest Drive, Pinelands, 7405).

All references to legislation in this form refer to the Income Tax Act, No. 58 of 1962, unless otherwise specified.

FMA means the Financial Markets Act, 19 of 2012.

A. FOORD UNIT TRUSTS (RF) (PTY) LTD (THE WITHHOLDING AGENT)

Registered name	Foord Unit Trusts (RF) (Pty) Ltd		
Registration number	2001/029793/07		
Dividends tax reference number	9589/209/14/8		
Contact details:			
Email	unittrusts@foord.co.za		
Telephone	+27 21 532 6969		
Fax	+27 21 532 6970		
Physical address	8 Forest Mews 96 Forest Drive Pinelands 7405 South Africa	Postal address	8 Forest Mews 96 Forest Drive Pinelands 7405 South Africa

B. BENEFICIAL OWNER (THE INVESTOR)

Investor's full legal name _____

Complete if the investor claims exemption:

Nature of person or entity:

- Individual
- Listed company
- Unlisted company
- Trust (any type)
- RSA government, provincial administration, municipalities
- Retirement Fund (Pension, Provident, Benefit, RA, etc.)
- Other (if selected please provide a description of the entity's _____)

OR

Complete if the Investor is a withholding agent:

Nature of regulated intermediary:

- CSDP as contemplated in section 32 of the FMA
- Authorised user as defined in section 1 of the FMA
- Approved nominee contemplated in section 76(3) of the FMA
- Portfolio of a collective investment scheme in securities
- Transfer secretary approved by SARS
- Portfolio of a hedge fund collective investment scheme

Identity/passport/registration number _____ South African income tax number _____

Residential/registered address _____

Postal code _____

Country in which the investor is resident for tax purposes _____

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C. EXEMPTION

Please indicate the reason why the investor (beneficial owner) is exempt from the dividends tax:

- Par (a) – a company which is resident in South Africa
- Par (b) – the government of the Republic of South Africa in the national, provincial or local sphere
- Par (c) – a public benefit organisation (approved by SARS in terms of section 30(3) of the Act)
- Par (d) – a trust contemplated in section 37A of the Act (mining rehabilitation trusts)
- Par (e) – an institution, body, or board contemplated in section 10(1)(cA) of the Act
- Par (f) – a fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- Par (g) – a person contemplated in section 10(1)(t) of the Act (CSIR, SANRAL, etc.)
- Par (i) – a small business funding entity as contemplated in section 10(1)(cQ)
- Par (j) -- a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
- Par (n) -- any fidelity or indemnity fund contemplated in section 10 (1)(d)(iii)

D. DECLARATIONS AND UNDERTAKINGS

DECLARATION IN TERMS OF SECTIONS 64FA(1)(A)(I), 64G(2)(A)(AA) OR 64H(2)(A)(AA) OF THE ACT:

I _____ (full names in print please),
the undersigned, hereby declare that dividends paid to the beneficial owner is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Signature (duly authorised to do so) _____ Date _____
Capacity of signatory (if not the beneficial owner) _____

UNDERTAKING IN TERMS OF SECTIONS 64FA(1)(A)(II), 64G(2)(A)(BB) OR 64H(2)(A)(BB) OF THE ACT:

I _____ (full names in print please),
the undersigned, undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in the declaration above change.

Signature (duly authorised to do so) _____ Date _____
Capacity of signatory _____